





# Effectiveness Trial of 1stClass@Number 1 Privacy notice for parents and carers

### 1 Why are we collecting this data?

The National Foundation for Educational Research (NFER) is collecting personal data to support the evaluation of the 1<sup>st</sup>Class@Number 1 (1CN1) intervention using a randomised controlled trial (RCT). The Education Endowment Foundation (EEF) has funded the National Foundation for Educational Research (NFER) to carry out an independent evaluation of 1CN1, with Edge Hill University (EHU) overseeing delivery of the intervention.

1CN1 is a mathematics intervention that trains teaching assistants to provide additional maths support to Year 2 pupils who are achieving at around 12 months behind age-related expectations. Your child has been nominated by your school to be screened for eligibility for the trial. After screening, if your child is then selected to participate in the trial, they will be allocated to either the intervention or control group.

This effectiveness trial will assess the impact of 1CN1 on maths outcomes/attainment for Year 2 children and especially those in receipt of Free School Meals (FSM).

# 2 What is the legal basis for processing activities?

NFER is the data controller for the trial and will make decisions about how and what personal data is used in accordance with the study objectives set by EEF. Edge Hill University is the data controller for the 1CN1 intervention delivery.

The legal basis for processing personal data is covered by GDPR Article 6 (1) (f):

Legitimate interests: the processing is necessary for your (or a third party's) legitimate interests unless there is a good reason to protect the individual's personal data which overrides those legitimate interests.

A legitimate interest assessment has been undertaken. Our legitimate interest for processing personal data for this trial is to both administer the RCT and to analyse the data that is collected as part of this RCT. The evaluation fulfills one of NFER's core business purposes (undertaking research, evaluation, and information activities). It has broader societal benefits and will contribute to improving the lives of learners by providing evidence about the impact of teaching techniques used in the classroom. Research cannot be done without processing personal data but processing does not override the data subject's interests. The legal basis for processing pupils' special personal data is covered by GDPR Article 9 (2) (j) which states that 'processing is necessary for archiving purposes in the public interest, scientific or historical research purposes or statistical purposes in accordance with Article 89(1) (as supplemented by section 19 of the 2018 Act) based on domestic

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law which shall be proportionate to the aim pursued, respect the right to data protection and provide for suitable and specific measures to safeguard the fundamental rights and the interests of the data subject'.

We do not believe this processing will cause damage or distress to the pupils. The outcomes of the evaluation will not result in the creation of measures or decisions being made about the pupils.

### 3 How will personal data be obtained?

NFER will obtain personal data for pupils in the following ways from the school, directly from pupils using tests administered in schools and in focus groups. We will also collect background data from the Department for Education's (DfE) National Pupil Database (NPD). All data collected is shared using a secure portal.

- In the Spring/Summer terms of 2022/23, if your child has been nominated for screening for the trial by their school, their personal data will be collected directly from the school via a secure portal by NFER.
- In September 2023, screening and baseline tests will be administered in schools to all
  pupils nominated for screening and shared with NFER for marking,
- In March 2024, pupil endpoint tests for all pupils selected for the trial (both intervention and control groups) will be administered by NFER test administrators. Attendance registers and test materials for endpoint testing will be shared with NFER test administrators using secure delivery methods.
- Background data including gender, FSM eligibility and SEN status will be collected for the trial pupils (both intervention and control groups) from the DfE's NPD using the DfE's secure data exchange portal and the Office of National Statistics' secure access procedures.
- Pupil focus groups conducted in a small number of schools by NFER researchers to gather pupils' views and perceptions of 1CN1 (schools will be invited to participate in pupil focus groups)

# 4 What personal data is collected for this project?

If your child has been nominated for screening for the trial, the following types of information about them will be collected:

- Name
- Date of Birth
- UPN
- Free school meal status
- Your child's Sandwell screening test scores and baseline Quantitative Reasoning test (QRT) scores

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If your child is then selected for the trial, the following further types of information will be collected:

- Attendance record at this intervention delivery (if they are randomly allocated to receive the 1CN1 intervention)
- Details of any other intervention in which they may be taking part
- Class name
- Your child's QRT scores at endpoint.

When matching the trial pupils' data to NPD, the following types of information about your child will be collected:

- Special educational needs status\*
- Gender
- Free school meal eligibility

If participating in a pupil focus group, their views and perceptions of the programme.

\*considered to be special category person data

# 5 Who will personal data be shared with?

Schools and NFER will share pupil data with each other.

If your child is selected for the trial, NFER will share a list containing pupils' details for endpoint testing with NFER's DBS checked Test Administrators.

If your child is selected for the trial, their full name, date of birth and UPN will be shared with the Department for Education's NPD team to request background data such as gender, FSM eligibility and SEN status where required for the analysis.

Three months after the publication of the evaluation report, pseudonymised matched outcome data will be added to the EEF archive, which is managed by the Fisher Family Trust on behalf of EEF and hosted by the Office of National Statistics. This will enable the EEF and other research teams to use the pseudonymised data as part of subsequent research through the ONS Approved Researcher Scheme. At this point, EEF becomes the data controller and is responsible for taking decisions about the means and purposes of processes. Further information about the EEF archive is available here.

# Is personal data being transferred outside of the European Economic Areas (EEA)?

No personal data is stored or transferred outside of the EEA.

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<sup>&</sup>lt;sup>1</sup> Pseudonymisation is a technique that replaces or removes information (like names or other meaningful identifiers) in a data set that identifies an individual.

### 7 How long will personal data be retained?

NFER will delete any personal data within one year of publication of the final report, expected to be April 2025.

NFER will share all the data with the EEF's data archive processor within 3 months of trial completion. Once NFER archives the data, EEF will have the data controller responsibilities for the archived data. Please see <u>EEF's privacy notice</u> for more information on how EEF processes and uses your data.

## 8 Can I stop my personal data being used?

NFER handles personal data in accordance with the rights given to individuals under data protection legislation. If at any time you wish us to withdraw your child's data from the trial or correct errors in it, please contact NFER by email at 1CN1trial@nfer.ac.uk.

In certain circumstances, data subjects have the right to restrict or object to processing. They also have the right to make a subject access request to see all the information held about them. NFER will cooperate fully when a subject access request (SAR) is made of a data controller. To exercise these rights, please contact our Compliance Officer: <a href="mailto:compliance@nfer.ac.uk">compliance@nfer.ac.uk</a>.

### 9 Who can I contact about this project?

NFER is responsible for the day-to-day management of the project. If you have any queries about this trial, please contact NFER by e-mail at <a href="mailto:1CN1trial@nfer.ac.uk">1CN1trial@nfer.ac.uk</a>.

If you have a concern about the way this evaluation processes personal data, we request that you raise your concern with us in the first instance (see the details above). Alternatively, you can contact the Information Commissioner's Office, the body responsible for enforcing data protection legislation in the UK, at <a href="https://ico.org.uk/concerns/">https://ico.org.uk/concerns/</a>.

The 1stClass@Number 1 programme privacy notice can be found here.

### 10 Last updated

We keep this privacy notice under review to make sure it is up to date and accurate. Any changes will be noted. The date when this privacy notice was last updated is shown in the footer at the bottom of this document.

The privacy notice was updated in February 2024 to reflect that the indicator of special educational needs required by the project contains health angles and is therefore considered as special category data.

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